# Efficient Two-Parameter Persistence Computation via Cohomology

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#### Abstract

Clearing is a simple but effective optimization for the standard algorithm of persistent homology (PH), which dramatically improves the speed and scalability of PH computations for Vietoris–Rips filtrations. Due to the quick growth of the boundary matrices of a Vietoris–Rips filtration with increasing dimension, clearing is only effective when used in conjunction with a dual (cohomological) variant of the standard algorithm. This approach has not previously been applied successfully to the computation of two-parameter PH.

We introduce a cohomological algorithm for computing minimal free resolutions of two-parameter PH that allows for clearing. To derive our algorithm, we extend the duality principles which underlie the one-parameter approach to the two-parameter setting. We provide an implementation and report experimental run times for function-Rips filtrations. Our method is faster than the current state-of-the-art by a factor of up to 20.

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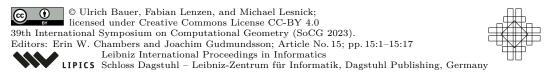
**Supplementary Material** Software (Source Code): https://gitlab.com/flenzen/2-parameter-persistent-cohomology [33]

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# 1 Introduction

**Motivation.** Persistent homology [19, 50, 37] analyzes how the homology of a filtered topological space changes as the filtration parameter increases. By assigning filtered spaces (e.g., Vietoris–Rips filtrations) to data sets, it provides simple signatures of the data called barcodes, which encode multi-scale information about the shape of the data. Thanks to recent advances in PH computation and software [4, 49, 1, 39, 45, 26, 27], PH has become popular for practical data applications [25]. A well-known stability result [16, 9, 12] guarantees that small perturbations (in the Gromov–Hausdorff distance) of the input data lead to small perturbations (in the bottleneck distance) of the barcodes of the PH of Vietoris–Rips filtrations. However, Vietoris–Rips PH is notoriously unstable to outliers. Besides other strategies [12, Section 1.7], a commonly proposed remedy for this is the introduction of a



second filtration parameter controlling the local density of the point cloud, which leads to the notions of two- and multi-parameter PH [14, 43, 17, 34, 41, 13]. A central computational problem of two-parameter PH, somewhat akin to the computation of a barcode, is the computation of a minimal free presentation or a minimal free resolution (MFR) of the PH module. While a resolution contains more information than a presentation, the underlying algorithmic problems are essentially the same, and we focus on the computation of a MFR in this work. Such a resolution is often quite small in practice [23], and computing it is a natural first step in computing invariants or metrics in the multi-parameter setting [13].

Computing a MFR of two-parameter PH is more involved than in the one parameter case. The problem can be solved by classical Gröbner basis algorithms, which work in much greater generality but do not scale well enough for practical TDA applications [35]. Recently, a specialized algorithm was introduced [35, 32], which is far more efficient than the Gröbner basis approach, both in theory and in practice. This has substantially lowered the barrier to practical data analysis with two-parameter persistence [30]. For recent applications, see, e.g., [11, 46].

Nevertheless, existing software for two-parameter PH is much slower and less scalable than one-parameter PH implementations such as [4, 1, 39, 49]. The approach of [35, 32] boils down to a matrix reduction scheme similar to the standard algorithm of one-parameter PH [50], and has the same asymptotic run time, cubic in the size of the complex. However, modern one-parameter PH algorithms incorporate several critical optimizations. In particular, it is known that for Vietoris–Rips filtrations, clearing [5, 15] leads to major performance gains when combined with a dual (cohomological) variant of the standard persistence algorithm [18, 5]. All state-of-the-art software for computing Vietoris–Rips PH employ this strategy. In two parameters, however, working with persistent cohomology (PC) is more challenging, essentially because, in contrast to one parameter, relative simplicial cochains of filtered complexes do not form free modules.

**Contributions.** In order to compute MFRs of PH of function-Rips bifiltrations more efficiently, we introduce a cohomological variant of the algorithm of [35, 32], which we outline now.

Let  $K_*$  be a finite simplicial  $\mathbf{Z}^n$ -filtration with  $K = \bigcup_{z \in \mathbf{Z}^n} K_z$ . Assume that  $K_*$  is one-critical, i.e., the set  $\{z \mid \sigma \in K_z\}$  has a unique minimal element  $g(\sigma)$  for every  $\sigma \in K$ . We define a certain cochain complex  $N^{\bullet}(K_*)$  of free persistence modules. In this paper,  $H_{\bullet}(K)$  always denotes the reduced simplicial homology of K. If  $H_d(K_z) = 0$  for all d but finitely many indices  $z \in \mathbf{Z}^n$  (which can easily be ensured by adding additional simplices to  $K_*$ ), then  $H^{d+n}(N^{\bullet}(K_*))$  is isomorphic to the dual module of  $H_d(K_*)$  for all d (see Proposition 6). This can be seen as a generalization of a corresponding statement for one-parameter persistence, in which case  $N^{\bullet}(K_*)$  equals the relative cochain complex  $C^{\bullet}(K, K_*)$  [18, Theorem 2.4]; see also [10].

Given a (minimal) free resolution  $F_{\bullet}$  of an *n*-parameter persistence module M and a choice of basis for each module of  $F_{\bullet}$ , we show that the matrices representing  $F_{\bullet}$  also represent a (minimal) injective resolution of M; see Theorem 10. In particular, this allows us to easily convert a (minimal) free resolution of a module (e.g.,  $H^{d+n}(N^{\bullet}(K_*))$ ) to a (minimal) free resolution of its dual (i.e.,  $H_d(K_*)$ ); see Corollary 14.

For n=2, we propose a method to compute a MFR of  $H^{d+2}(N^{\bullet}(K_*))$  (and thus  $H_d(K_*)$ ) solely from the coboundary map  $\delta^{d+1} \colon N^d(K_*) \to N^{d+1}(K_*)$ ; see Section 3.4. At the core of this method is an algorithm for the following problem: given a morphism  $f \colon F \to F'$  of free persistence modules and a basis of the vector space colim im f, compute a basis of the free persistence module ker f; see Theorem 19. The algorithm is compatible with the clearing optimization, which improves its performance considerably.

We have implemented our approach [33] and report timing results from computational experiments with function-Rips bifiltrations. On most instances considered, our approach is significantly faster than the approach [23] used in mpfree, and on certain instances, our implementation is able to outperform the approach of by a factor of up to 20.

A number of recent methods for computation of multiparameter persistence focus on decreasing the size of the input complex without changing its homology [22, 42, 23, 2]. These methods can be used as a preprocessing step to the computation of a minimal free resolution. In our computational experiments, we explore the effect of the chunk preprocessing method of [23] on the efficiency of our method. We find that in our experiments, our method generally performs better without this preprocessing. In contrast, we observe that the preprocessing is very helpful for the the approach of [35, 32], as previously reported [23]. We also observe that applying the chunk algorithm on cochain complexes instead of chain complexes may significantly increase the performance even for homology computation.

# 2 Background

# 2.1 Persistence modules

Let k be a field, let  $n \in \mathbb{N}$ , let **vec** denote the category of finite dimensional k-vector spaces, and consider  $\mathbb{Z}^n$  as a poset with the usual product partial order. A (pointwise finite dimensional)  $\mathbb{Z}^n$ -persistence module, also called an n-parameter persistence module, is a functor  $M: \mathbb{Z}^n \to \mathbf{vec}$ . The maps  $M_{z \leq z'} \colon M_z \to M_{z'}$  are called the structure maps of M. If  $m \in M_z$ , we call z the grade of m, denoted by g(m). The total dimension of M is  $\sum_{z \in \mathbb{Z}^n} \dim M_z$ . We write  $\mathbb{Z}^n$ -pers for the abelian category of pointwise finite dimensional  $\mathbb{Z}^n$ -persistence modules. Its morphisms are natural transformations.  $\mathbb{Z}^n$ -pers is equivalent to a full subcategory of the category of multigraded modules over the ring  $k[x_1, \ldots, x_n]$ ; see [14]. The algebra  $k[x_1, \ldots, x_n]$  is not a principal ideal domain unless n = 1; therefore,  $\mathbb{Z}^n$ -persistence modules cannot be described by a barcode for n > 1.

Let  $V^* = \operatorname{Hom}_k(V, k)$  denote the dual of a k-vector space V. The dual of a  $\mathbb{Z}^n$ -persistence module M is the  $\mathbb{Z}^n$ -persistence module  $M^*$  with  $(M^*)_z = (M_{-z})^*$  and  $(M^*)_{z \leq z'} = (M_{-z' \leq -z})^*$ . An object M of an abelian category  $\mathcal C$  is projective (respectively injective) if the functor  $\operatorname{Hom}_{\mathcal C}(M,-)$  (respectively  $\operatorname{Hom}_{\mathcal C}(-,M)$ ) is exact. The duality  $M \mapsto M^*$  is an exact contravariant equivalence of categories and thus maps projective to injective modules and vice versa.

For  $z \in \mathbf{Z}^n$ , let F(z) be the module with  $F(z)_w = \begin{cases} k \text{ if } z \leq w, \\ 0 \text{ otherwise,} \end{cases}$  and  $F(z)_{w \leq w'} = \mathrm{id}_k$  if  $z \leq w \leq w'$ . A module F is free if there are elements  $(z_i)_{i \in I} \subseteq \mathbf{Z}^n$ , for some indexing set I, such that there is an isomorphism  $b \colon \bigoplus_{i \in I} F(z_i) \to F$ . Every finitely generated projective persistence module is free [40, 44, 28]. Let  $e_i$  denote the element  $1 \in F(z_i)_{z_i}$  of the component of  $\bigoplus_{i \in I} F(z_i)$  indexed by i. The set  $\{b(e_i) \mid i \in I\}$  is a basis of F. The multiset  $r \notin F := \{z_i \mid i \in I\}$  is uniquely determined by F and called its (graded) rank. A module F is finitely generated if there is a pointwise surjection  $F \to M$  from a free module F of finite rank; the image of a basis of F under such a map is called a generating system of M.

A graded matrix M is a matrix with entries  $M_{ij} \in k$  whose rows and columns are decorated with row grades  $\operatorname{rg}_*^M$  and column grades  $\operatorname{cg}_*^M$ . The graded transpose of a graded  $m \times n$ -matrix M is the graded  $n \times m$ -matrix  $M^T$  with entries  $(M^T)_{ij} = M_{m+1-j,n+1-i}$ , row grades  $\operatorname{rg}_i^{M^T} = -\operatorname{cg}_{n+1-i}^M$  and column grades  $\operatorname{cg}_j^{M^T} = -\operatorname{rg}_{m+1-i}^M$ . A morphism  $f \colon F \to F'$  of finite rank free modules F and F' with respective bases  $b_1, \ldots, b_n$  and  $b'_1, \ldots, b'_m$  is uniquely represented by a graded  $m \times n$ -matrix M with  $\operatorname{cg}_j^M = g(b_j)$ ,  $\operatorname{rg}_i^M = g(b'_i)$ , and entries  $M_{ij}$  such that  $f(b_j) = \sum_i M_{ij} F'_{g(b'_i) \leq g(b_j)}(b'_i)$  for all j.

▶ Lemma 1. A graded matrix M represents a morphism of finite rank free modules iff  $M_{ij} = 0$  whenever  $\operatorname{rg}_i^M \not\leq \operatorname{cg}_i^M$ .

**Proof.** This follows from the fact that 
$$\operatorname{Hom}(F(z), F(z')) = \begin{cases} k \text{ if } z \geq z', \\ 0 \text{ otherwise.} \end{cases}$$

If bases of free modules F, F' are fixed, we identify a morphism  $F \to F'$  with the graded matrix representing it. A free resolution (resp., injective resolution) of a module M is a chain complex  $F_{\bullet} \colon \cdots \to F_1 \to F_0$  of free modules (resp., cochain complex  $I^{\bullet} \colon I^0 \to I^1 \to \cdots$  of injective modules) concentrated in non-negative degrees that is quasi-isomorphic to M. A (homological) d-ball is an acyclic chain complex of the form  $\cdots \to 0 \to F(z) \stackrel{\mathrm{id}}{\to} F(z) \to 0 \to \cdots$  for some  $z \in \mathbf{Z}^n$ , concentrated in degrees d, d-1. A free resolution, and, more generally, a chain complex of free modules, is called minimal if it contains no direct summand isomorphic to a ball. An injective resolution is minimal if its dual is minimal. A morphism  $F_1 \to F_0$  of free modules is called a (minimal) free presentation of a module M if it extends to a (minimal) free resolution of M.

▶ Theorem 2 (see [21, Theorem 20.2], [38, Theorem 7.5]). Every finitely generated module has a MFR. Every free resolution is isomorphic to the direct sum of a MFR with a direct sum of homological balls. In particular, a MFR is unique up to isomorphism of chain complexes.

Thus, letting  $F_{\bullet}$  be a MFR of a finitely generated persistence module M, the graded ranks  $\beta_q(M) := \operatorname{rk} F_q$ , called the *graded Betti numbers* of M, are independent of the choice of  $F_{\bullet}$ .

▶ **Theorem 3** (Hilbert's Syzygy theorem [38, Theorem 15.2], [21, Corollary 19.7]). Every  $\mathbf{Z}^n$ -persistence module has a MFR of length at most n.

## 2.2 Filtrations

For P any poset, a (simplicial) P-filtration is a functor  $K_*$  from  $\mathbf{Z}^n$  to the category of simplicial complexes such that the simplicial maps  $K_{z \leq z'}$  are inclusions. We write  $K = \bigcup_{z \in \mathbf{Z}^n} K_z$ .

▶ Example 4. Let S be a metric space and let  $\operatorname{diam} \sigma = \max_{s,t \in \sigma} d(s,t)$  for every finite, non-empty  $\sigma \subseteq S$ . The Vietoris-Rips filtration  $\widehat{VR}_*(S)$  associated to S is the  $\mathbf{R}$ -filtration given by  $\widehat{VR}_r(S) = \{\sigma \subseteq S \mid 0 < |\sigma| < \infty, \operatorname{diam} \sigma \le r\}$ . If S is finite and non-empty, let  $r_1 < r_2 < \cdots < r_n$  be the distinct values  $\operatorname{diam} \sigma$  can attain for  $\sigma \subseteq S$ . By setting

$$VR_i(S) = \begin{cases} \widehat{VR}(S)_{r_1} & \text{if } i \leq 1, \\ \widehat{VR}(S)_{r_i} & \text{if } 1 < i < n, \\ \widehat{VR}(S)_{r_n} & \text{if } n \leq i, \end{cases}$$

we obtain a **Z**-filtration  $VR_*(S)$ , which we also call a Vietoris-Rips filtration.

If  $K_*$  is a  $\mathbf{Z}^n$ -filtration, its absolute and relative simplicial chains  $C_{\bullet}(K_*)$  and  $C_{\bullet}(K,K_*)$  (with coefficients in k) form chain complexes of  $\mathbf{Z}^n$ -persistence modules, and the respective cycles  $Z_d(-)$ , boundaries  $B_d(-)$  and homology  $H_d(-)$  are  $\mathbf{Z}^n$ -persistence modules for all d. The dual cochain complex  $C^{\bullet} := (C_{\bullet})^*$  of a chain complex  $C_{\bullet}$  has components  $C^d = (C_d)^*$ . Its cocycles  $Z^d(C^{\bullet})$ , coboundaries  $B^d(C^{\bullet})$  and cohomology  $H^d(C^{\bullet})$  are  $\mathbf{Z}^n$ -persistence modules for all d. Because  $(-)^*$  is exact, there is a natural isomorphism  $H^d(C^{\bullet}) \to H_d(C_{\bullet})^*$  for all d. Our indexing convention is that a chain complex  $C_{\bullet}$  has the boundary morphisms  $\partial_d : C_d \to C_{d-1}$  and a cochain complex  $C^{\bullet}$  has coboundary morphisms  $\delta^d = (\partial_d)^* : C^{d-1} \to C^d$ . This differs from the standard convention, but is chosen such that  $C^{\bullet} = (C_{\bullet})^*$  has  $\delta^d = (\partial_d)^*$ .

A  $\mathbf{Z}^n$ -filtration  $K_*$  is one-critical if for every  $\sigma \in K$  the set  $\{z \in \mathbf{Z}^n \mid \sigma \in K_z\}$  has a unique minimal element  $g(\sigma)$ , called the grade of  $\sigma$ . In this case,  $C_{\bullet}(K_*) = \bigoplus_{\sigma \in K_*} F(g(\sigma))$  is free, with a basis  $\{e_{\sigma} \mid \sigma \in K_*\}$  satisfying  $g(e_{\sigma}) = g(\sigma)$ . In particular,  $\partial_{\bullet}$  can be represented by a graded matrix  $[\partial_{\bullet}]$ .

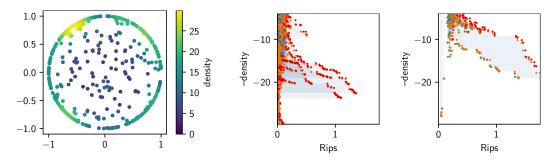


Figure 1 A point set S (left) with |S| = 400, with density function  $\rho(p) := \sum_{q \in S \setminus \{p\}} \exp\left(-\frac{d(p,q)^2}{2\sigma^2}\right)$  for  $\sigma = 0.15$ ; graded Betti numbers (teal:  $\beta_0$ , red:  $\beta_1$ , orange:  $\beta_2$ ) and Hilbert function (shades of blue increasing from dim = 0 to dim  $\geq 10$ ) of  $H_0(VR_*^{\rho}(S))$  (middle) and  $H_1(VR_*^{\rho}(S))$  (right).

▶ Example 5. The function-Rips bifiltration  $VR_*^f(S)$  associated to a finite metric space S and a function  $f : S \to \mathbf{Z}$  is the one-critical  $\mathbf{Z}^2$ -filtration with  $VR_{x,y}^f = \{\sigma \in VR_y(S) \mid \max_{s \in \sigma} f(s) \leq x\}$ . Figure 1 illustrates the Hilbert function and graded Betti-numbers of the PH of a function-Rips bifiltration, where the function is a density function.

# 2.3 One-parameter persistence and clearing

We next turn attention to persistence modules over **Z**. For  $-\infty \le b_i < d_i \le \infty$ , let I(b,d) be the *interval module* with  $I(b,d)_z = \left\{ \begin{smallmatrix} k & \text{if } b \le z < d, \\ 0 & \text{otherwise} \end{smallmatrix} \right\}$  and  $I(b,d)_{z \le z'} = \mathrm{id}_k$  if  $b \le z \le z' < d$ . Every pointwise finite-dimensional **Z**-persistence module is isomorphic to an essentially unique direct sum  $\bigoplus_{i \in I} I(b_i,d_i)$  [47, 50]. The collection of the pairs  $(b_i,d_i)$  is called the barcode of M.

Given a finite **Z**-filtered complex  $K_*$ , one is usually interested in computing the barcode of  $H_{\bullet}(K_*)$ . Since k[x] is a principal ideal domain, the submodules  $Z_d(K_*)$ ,  $B_d(K_*) \subseteq C_d(K_*)$  are free for all d. The standard algorithm [20, §3] computes bases of  $Z_d(K_*)$  and  $B_d(K_*)$  and thus the barcode of  $H_{\bullet}(K_*)$  by applying an order-respecting Gaussian column reduction scheme to each graded matrix  $[\partial_d]$ . Each relative cochain module  $C^d(K, K_*)$  is also free, so the same algorithm computes the barcode of  $H^{\bullet}(K, K_*)$  from the graded matrices  $[\delta^d] = [\partial_d]^T$  representing the coboundary operators  $\delta^d$ . The barcodes of  $H_{\bullet}(K_*)$  and  $H^{\bullet}(K, K_*)$  determine each other in a simple way, as is seen by considering the long exact sequence of the pair  $(K, K_*)$  [18, 10].

It has been observed that for Vietoris–Rips filtrations, computing  $H^{\bullet}(K, K_*)$  instead of  $H_{\bullet}(K_*)$  is far more efficient. This increase in efficiency hinges on the use of the clearing optimization scheme [5, 15, 4], which we now explain. The pivot of a matrix column is the largest row index of a non-zero entry in that column. The standard algorithm applies left-to-right column additions to bring  $[\delta^{d+1}]$  into reduced form  $R^{d+1}$ , meaning that all columns of  $R^{d+1}$  have pairwise distinct pivots. If a column  $R^d_j$  is non-zero with pivot i, then  $R^{d+1}_i = 0$ . Therefore, if  $R^d$  is known from previous computations, the reduction of  $[\delta^{d+1}]_j$  to zero can be skipped. As the standard algorithm would typically spend most of its run time on the columns of  $[\delta^{d+1}]$  that are reduced to zero, skipping most of these accelerates the algorithm considerably.

If the reduced homology  $H_d(K)$  is zero for all d, then the long exact sequence of the pair  $(K, K_*)$  shows that  $H^{d+1}(K, K_*)^* \cong H_d(K_*)$  for all d, so one would expect that they can be computed from the same data. Indeed, one can use clearing to compute  $H^{d+1}(K, K_*)$  (respectively  $H_d(K_*)$ ) from  $\delta^{d+1}$  (respectively  $\partial_{d+1}$ ) alone; see Algorithm 3 in the full version.

# 2.4 Computation of 2-parameter persistence

The LW-Algorithm. Assume that  $C_{\bullet}$  is a chain complex of free  $\mathbf{Z}^2$ -persistence modules of finite rank; e.g.,  $C_{\bullet} = C_{\bullet}(K_*)$  for a one-critical  $\mathbf{Z}^2$ -filtration  $K_*$ , and let  $D_d$  be the matrix representing  $\partial_d \colon C_d \to C_{d-1}$  for all d. Theorems 2 and 3 imply that the kernel of a morphism of finitely generated free  $\mathbf{Z}^2$ -modules is free. In particular,  $Z_d(C_{\bullet})$  is free for all d, so the sequence  $0 \to Z_{d+1}(C_{\bullet}) \xrightarrow{i_{d+1}} C_{d+1} \xrightarrow{p_{d+1}} Z_d(C_{\bullet})$  is a free resolution of  $H_d(K_*)$ . From  $D_d$ , the LW-Algorithm [35, 32] (see Algorithm 4 in the full version) computes a graded matrix  $I_d$  representing  $i_d \colon Z_d(C_{\bullet}) \hookrightarrow C_d$ . A variant of that algorithm (see Algorithm 5 in the full version) computes from  $D_{d+1}$  a graded matrix  $D'_{d+1} \colon C'_{d+1} \to C_d$ , whose columns represent a minimal generating system of  $B_d(C_{\bullet})$ , together with a graded matrix  $I'_{d+1}$  representing the kernel  $Z'_{d+1}$  of the morphism represented by  $D'_{d+1}$ . There is a unique graded matrix  $P'_{d+1}$  such that  $D'_{d+1} = I_d P'_{d+1}$ , which can be obtained by Algorithm 9 in the full version. Then  $I'_{d+1}$  and  $P'_{d+1}$  represent a free resolution

$$0 \to Z'_{d+1} \xrightarrow{I'_{d+1}} C'_{d+1} \xrightarrow{P'_{d+1}} Z_d(C_{\bullet}) \tag{1}$$

of  $H_d(C_{\bullet})$ . To obtain a MFR, it remains to split off summands from (1) that are isomorphic to homological balls. There is an embarrassingly parallel algorithm that computes a minimal chain complex quasi-isomorphic to a given one; see Remark 23 in the full version. In particular, this algorithm can be used to convert a free resolution to a minimal one. It can also be used to split off balls from the input complex  $C_{\bullet}$ . This is known as *chunk preprocessing* and typically improves performance of the LW-algorithm by a considerable amount [22, 32, 23].

# 3 Cohomology computation

Let  $K_*$  be a one-critical  $\mathbb{Z}^n$ -filtration. If n > 1, then neither  $C^{\bullet}(K_*)$  nor  $C^{\bullet}(K, K_*)$  are complexes of free modules. Since the LW-algorithm assumes that the input complex is a complex of free modules, the strategy from Section 2.4 cannot be used to compute  $H^{\bullet}(K_*)$  or  $H^{\bullet}(K, K_*)$  directly. Instead, we consider a cochain complex  $N^{\bullet}(K_*)$  that can be used to compute  $H_d(K_*)$ .

# 3.1 The free cochain complex $N^{\bullet}(K_*)$

For a module M and  $z \in \mathbf{Z}^n$ , let  $M\langle z \rangle$  be the module with graded components  $M\langle z \rangle_w = M_{z+w}$ . For  $z \geq 0$ , the structure maps of M give a morphism  $M \to M\langle z \rangle$ . Note that  $M\langle z \rangle^* = M^*\langle -z \rangle$ . For a graded matrix A, let  $A\langle z \rangle$  be the graded matrix with  $A\langle z \rangle_{ij} = A_{ij}$ ,  $\operatorname{rg}_i^{A\langle z \rangle} = \operatorname{rg}_i^A + z$  and  $\operatorname{cg}_j^{A\langle z \rangle} = \operatorname{rg}_j^A + z$  for all i, j.

Fix a total order on the simplices of  $K_*$ , so that the boundary map  $\partial_{\bullet}$  of the chain complex  $C_{\bullet}(K_*) = \bigoplus_{\sigma \in K_*} F(g(\sigma))$  is represented by the graded matrix  $[\partial_{\bullet}]$ . Let  $\epsilon = (1, \dots, 1) \in \mathbf{Z}^n$ . Let

$$N^{\bullet}(K_*) = \bigoplus_{\sigma} F(-g(\sigma) + \epsilon)$$

be the cochain complex whose coboundary operator  $\delta_N^{\bullet}$  is represented by  $[\partial_{\bullet}]^T \langle -\epsilon \rangle$  with respect to the standard basis. It follows from Lemma 1 that this is a well-defined cochain complex. The key property of this chain complex is summarized in the following proposition, whose proof is deferred to the next subsection.

- ▶ Proposition 6. If  $H_d(K_*)$  has finite total dimension for all d, then there is a natural isomorphism  $H_d(K_*) \cong H^{d+n}(N^{\bullet}(K_*))^*$  for all d.
- ▶ Corollary 7. If  $H_{\bullet}(K_*)$  has finite total dimension and  $F_{\bullet}$  is a free resolution of  $H^{d+n}(N^{\bullet}(K_*))$ , then  $(F_{\bullet})^*$  is an injective resolution of  $H_d(K_*)$ .

# 3.2 The Calabi-Yau-property of persistence modules

Besides proving Proposition 6, we will need to convert the injective resolution of  $H_d(K_*)$  from Corollary 7 into a free resolution of  $H_d(K_*)$ . Both will follow from Theorem 10, which establishes a property of persistence modules known as the Calabi-Yau property in some areas of algebra [24]; see [29, Lemma 4.1] for a proof in a more general context. As it turns out, there is a close correspondence between injective and free resolutions that we explore in this section. For  $z \in \mathbf{Z}^n$ , we define the injective module  $I(z) = F(-z)^*$ ; i.e.,  $I(z)_w = \begin{cases} k & \text{if } w \leq z, \\ 0 & \text{otherwise,} \end{cases}$  and  $I(z)_{w < w'} = \text{id}$  if  $w \leq w' \leq z$ .

▶ **Definition 8.** For persistence modules M, N, let  $\mathsf{Hom}(M, N)$  be the persistence module with components  $\mathsf{Hom}(M, N)_z = \mathsf{Hom}(M, N\langle z \rangle)$ .

Let  $\mathcal{P}_{\mathbf{Z}^n\text{-}\mathbf{pers}}$  and  $\mathcal{I}_{\mathbf{Z}^n\text{-}\mathbf{pers}}$  be the full subcategories of  $\mathbf{Z}^n$ -pers consisting of free and injective modules, respectively.

▶ **Lemma 9** (see [3, Proposition 2.10 in Chapter III]). *The* Nakayama functor

$$\nu \coloneqq \operatorname{Hom}(-, F(0))^* \colon \mathcal{P}_{\mathbf{Z}^n\text{-}\mathbf{pers}} \to \mathcal{I}_{\mathbf{Z}^n\text{-}\mathbf{pers}}$$

is an equivalence of categories with quasi-inverse  $\nu^{-1} = \text{Hom}(I(0)^*, -)$ .

One checks that  $\nu F(z) = I(z)$  and  $\nu^{-1}I(z) = F(z)$ . Therefore,  $N^{\bullet}(K_*) = (\nu C_{\bullet}(K_*)\langle \epsilon \rangle)^*$ . For a chain complex  $C_{\bullet}$  and  $i \in \mathbf{Z}$ , let  $C_{\bullet}[i]$  be the chain complex whose dth module is  $(C_{\bullet}[i])_d = C_{i+d}$ . Analogously, for a cochain complex  $C^{\bullet}$ , let  $C^{\bullet}[i]$  be the cochain complex with  $(C^{\bullet}[i])^d = C^{i+d}$ . Note that  $C_{\bullet}[i]^* = (C_{\bullet})^*[i]$ .

▶ **Theorem 10.** If  $F_{\bullet}$  is a complex of free  $\mathbf{Z}^n$ -persistence modules such that  $H_d(F_{\bullet})$  has finite total dimension for all d, then  $F_{\bullet}$  and  $\nu F_{\bullet}[n]\langle \epsilon \rangle$  are naturally quasi-isomorphic.

**Proof.** For 
$$z \in \mathbf{Z}^n$$
, we write  $z = (z_1, \dots, z_n)$ . For  $n \in \mathbf{N}$ , let  $[n] \coloneqq \{1, \dots, n\}$  and let  $\binom{[n]}{k} \coloneqq \{S \subseteq [n] \mid |S| = k\}$ . For  $S = \{s_1 < \dots < s_k\} \in \binom{[n]}{k}$  and  $z \in \mathbf{Z}^n$ ,  $w \in \mathbf{Z}^k$ , we let  $z|_w^S \coloneqq (z_1, \dots, z_{s_1-1}, w_1, z_{s_1+1}, \dots, z_{s_k-1}, w_k, z_{s_k+1}, \dots, z_n)$ 

be the *n*-tuple obtained from z by replacing the components indexed by S by the entries of w. For any module  $M \in \mathbf{Z}^n$ -pers and  $S \subseteq [n]$ , we let  $\operatorname{Colim}_S M$  be the module with

$$(\operatorname{Colim}_{S} M)_{z} = \operatorname{colim}_{w \in \mathbf{Z}^{k}} M_{z|_{\infty}^{S}}, \qquad (\operatorname{Colim}_{S} M)_{z < z'} = \operatorname{colim}_{w \in \mathbf{Z}^{k}} M_{z|_{\infty}^{S} < z'|_{\infty}^{S}}.$$

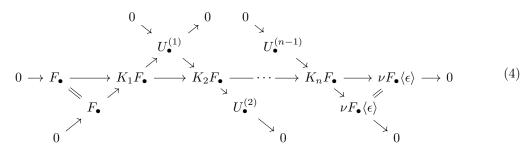
For example, for n=3 we get  $(\operatorname{Colim}_{\{1,3\}} M)_{(z_1,z_2,z_3)} = \operatorname{colim}_{(w_1,w_2)\in \mathbf{Z}^2} M_{(w_1,z_2,w_2)}$ . The module  $\operatorname{Colim}_S M$  is constant along the axes specified by S. In particular,  $\operatorname{Colim} M = \operatorname{Colim}_{[n]} M$  is the module that is constantly  $\operatorname{colim} M$ . For a module M, we define the modules  $K_k M = \bigoplus_{S \in \binom{[n]}{k}} \operatorname{Colim}_S M$  for each k. If  $S \subseteq S'$ , then there is a canonical morphism  $\operatorname{Colim}_S M \to \operatorname{Colim}_{S'} M$ . For a free module F(z), these assemble to an exact sequence

$$0 \to F(z) \to K_1 F(z) \to \cdots \to K_n F(z) \to I(z) \langle \epsilon \rangle \to 0, \tag{2}$$

called the Koszul complex of F(z). The last morphism is the canonical morphism  $K_nF(z) = \text{Colim } F(z) = \text{Lim } I(z)\langle\epsilon\rangle \to I(z)\langle\epsilon\rangle$ . Let  $F_{\bullet}$  be a bounded complex of free modules. Using  $\nu F(z) = I(z)$ , we get an exact sequence

$$K_{\bullet}: 0 \to F_{\bullet} \to K_1 F_{\bullet} \to \cdots \to K_n F_{\bullet} \to \nu F_{\bullet} \langle \epsilon \rangle \to 0$$
 (3)

of chain complexes, given by taking a shifted copy of (2) for every F(z) in  $F_{\bullet}$ . We unsplice (3) into short exact sequences



with chain complexes  $U_{\bullet}^{(k)}$  for each k. Each of these short exact sequences gives a triangle in the derived category  $\mathcal{D}^{b}(\mathbf{Z}^{n}\text{-}\mathbf{pers})$  [48, §10.4.9]. We obtain connecting homomorphisms

$$\partial^{(1)} \colon U_{\bullet}^{(1)}[1] \to F_{\bullet}, \qquad \partial^{(2)} \colon U_{\bullet}^{(2)}[1] \to U_{\bullet}^{(1)}, \qquad \cdots \qquad \partial^{(n-1)} \colon \nu F_{\bullet} \langle \epsilon \rangle[1] \to U_{\bullet}^{(n-1)}$$

in  $\mathcal{D}^{b}(\mathbf{Z}^{n}\text{-}\mathbf{pers})$ . These fit into the long exact sequences

$$\cdots \to H_{d+1}(K_1F_{\bullet}) \to H_{d+1}(U_{\bullet}^1) \xrightarrow{\partial^{(1)}} H_d(F_{\bullet}) \to H_d(K_1F_{\bullet}) \to \cdots,$$

$$\cdots \to H_{d+2}(K_2F_{\bullet}) \to H_{d+2}(U_{\bullet}^2) \xrightarrow{\partial^{(2)}} H_{d+1}(U_{\bullet}^{(1)}) \to H_{d+1}(K_2F_{\bullet}) \to \cdots,$$

$$\vdots$$

$$(5)$$

$$\cdots \to H_{d+n}(K_n F_{\bullet}) \to H_{d+n}(\nu F_{\bullet}\langle \epsilon \rangle) \xrightarrow{\partial^{(n-1)}} H_{d+n-1}(U_{\bullet}^{(n-1)}) \to H_{d+n-1}(K_n F_{\bullet}) \to \cdots,$$

induced by the short exact sequences (4). Since  $H_d(F_{\bullet})$  is of finite total dimension for all d, we have  $\operatorname{Colim}_S H_d(F_{\bullet}) = 0$  if |S| > 0. The functor  $\operatorname{Colim}_S$  is exact for all S because it is a directed colimit. In particular,  $H_d(K_kF_{\bullet}) = H_d(\bigoplus_{|S|=k} \operatorname{Colim}_S F_{\bullet}) = 0$  for all k > 0. Therefore, the long exact sequences (5) show that all connecting homomorphisms  $\partial^{(k)}$  are quasi-isomorphisms. Thus,  $\partial^{(1)} \circ \cdots \circ \partial^{(n-1)} \colon \nu F_{\bullet}[n]\langle \epsilon \rangle \longrightarrow F_{\bullet}$  is a quasi-isomorphism.

- ▶ Corollary 11. Let  $M \in \mathbf{Z}^n$ -pers be of finite total dimension.
- 1. If  $F_{\bullet}$  is a free resolution of M, then  $\nu F_{\bullet}[n]\langle \epsilon \rangle$  is an injective resolution of M.
- **2.** If  $I^{\bullet}$  is an injective resolution of M, then  $\nu^{-1}I^{\bullet}[-n]\langle -\epsilon \rangle$  is a free resolution of M.

**Proof of Proposition 6.** With  $N^{\bullet}(K_*) = (\nu C_{\bullet}(K_*) \langle \epsilon \rangle)^*$ , Theorem 10 gives

$$H^{d+n}(N^{\bullet}(K_*))^* \cong H_d(N^{\bullet}(K_*)^*[n]) \cong H_d(\nu C_{\bullet}(K_*) \langle \epsilon \rangle[n]) \cong H_d(C_{\bullet}(K_*)) = H_d(K_*). \blacktriangleleft$$

▶ Lemma 12. A graded matrix M represents a morphism  $\bigoplus_j I(\operatorname{cg}_j^M) \to \bigoplus_i I(\operatorname{rg}_i^M)$  iff  $M_{ij} = 0$  whenever  $\operatorname{rg}_i^M \not \leq \operatorname{cg}_j^M$ .

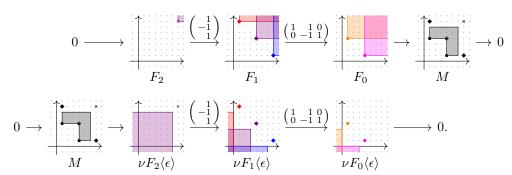
**Proof.** This follows from 
$$\operatorname{Hom}(I(z), I(z')) = \begin{cases} k & \text{if } z \geq z' \\ 0 & \text{otherwise} \end{cases}$$

In particular, a graded matrix represents a morphism of free modules iff it represents a morphism of injective modules (cf. Lemma 1).

- ▶ **Lemma 13.** Let  $f: \bigoplus_{j=1}^n F(z_j) \to \bigoplus_{i=1}^m F(z_i')$  be a morphism of free modules represented by the graded matrix [f]. Then the morphism  $\nu f: \bigoplus_{j=1}^n I(z_j) \to \bigoplus_{i=1}^m I(z_i')$  is represented by the same graded matrix  $[\nu f] = [f]$ .
- ▶ Corollary 14. For  $M \in \mathbf{Z}^n$ -pers of finite total dimension and graded matrices  $U_1, \ldots, U_n$ , the following are equivalent:
- 1.  $U_1, \ldots, U_n$  represent a free resolution  $\cdots 0 \to F_n \xrightarrow{U_n} \cdots \xrightarrow{U_1} F_0$  of M,
- **2.**  $U_1\langle\epsilon\rangle,\ldots,U_n\langle\epsilon\rangle$  represent an injective resolution  $I^0 \xrightarrow{U_n\langle\epsilon\rangle} \cdots \xrightarrow{U_1\langle\epsilon\rangle} I^n \to 0 \cdots$  of M,
- 3.  $U_1\langle\epsilon\rangle^T, \dots, U_n\langle\epsilon\rangle^T$  represent a free resolution  $\cdots 0 \to G_n \xrightarrow{U_n\langle\epsilon\rangle^T} \cdots \xrightarrow{U_1\langle\epsilon\rangle^T} G_0$  of  $M^*$ . In this case  $I^q = \nu F_{n-q}\langle\epsilon\rangle = G_q^*$  for all q.
- **▶ Example 15.** Consider the module

$$M = \bigcap_{i=1}^{k} \bigcap_{j=1}^{k} \bigcap_{j=1}^{k} \bigcap_{j=1}^{k} \bigcap_{i=1}^{k} \bigcap_{j=1}^{k} \bigcap_{j=1}^{k}$$

where  $M_z=k$  if z lies in the shaded region,  $M_z=0$  otherwise, and all structure morphisms between non-zero vector spaces of M being identities. The first line of the following diagram exhibits  $F_{\bullet}$  as a free resolution of M, and the second line exhibits  $\nu F_{\bullet}[2]\langle\epsilon\rangle$  as an injective resolution of M:



## 3.3 Pulling back modules from the colimit

From now on, we consider  $\mathbb{Z}^2$ -persistence modules only. It remains to explain how we compute  $H^{\bullet}(N^{\bullet}(K_*))$ . In principle, this could be done by a procedure analogous to the LW-Algorithm described in Section 2.4: the horizontal sequence in the commutative diagram

$$N^{d}(K_{*}) \xrightarrow{\delta^{d+1}} N^{d+1}(K_{*}) \xrightarrow{p^{d+1}} Z^{d+2}(N^{\bullet}(K_{*}))$$

$$0 \longrightarrow Z^{d+1}(N^{\bullet}(K_{*})) \xrightarrow{i^{d+1}} N^{d+1}(K_{*}) \xrightarrow{p^{d+1}} Z^{d+2}(N^{\bullet}(K_{*}))$$

$$\downarrow^{i^{d+2}} \downarrow^{i^{d+2}} \qquad (6)$$

$$N^{d+3}(K_{*})$$

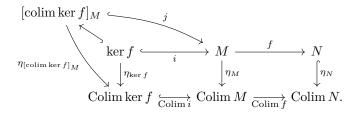
is a free resolution of  $H^{d+2}(N^{\bullet}(K_*))$ , and we can obtain matrices representing this resolution as described in Section 2.4. This would, however, involve the coboundary maps  $\delta^{d+2}$  and

 $\delta^{d+3}$ , leading to a very expensive computation, especially for function-Rips bifiltrations. Instead, we propose a method that computes a free resolution of  $H^{d+2}(N^{\bullet}(K_*))$  from  $\delta^{d+1}$  only.

For a vector space V, denote by  $\Delta V$  the persistence modules with components  $(\Delta V)_z = V$ , such that all structure morphisms of  $\Delta V$  are the identity. Let  $\operatorname{Colim} M = \Delta \operatorname{colim} M$ .

- ▶ **Definition 16.** For a module  $M \in \mathbf{Z}^2$ -pers and a vector space  $V \subseteq \operatorname{colim} M$ , we let  $[V]_M \in \mathbf{Z}^2$ -pers be the preimage of  $\Delta V$  under the canonical map  $\eta_M \colon M \to \operatorname{Colim} M$ .
- ▶ **Lemma 17.** If  $f: M \to N$  is a morphism and N is free, then  $\ker f = [\operatorname{colim} \ker f]_M$ .

**Proof.** If N is free, then  $\eta_N \colon N \to \operatorname{Colim} N$  is injective. For every submodule  $L \subseteq M$ , we have  $L \subseteq \eta_M^{-1}(\operatorname{Colim} L) = [\operatorname{colim} L]_M$ , so  $\ker f \subseteq [\operatorname{colim} \ker f]_M$ . It remains to show the other inclusion  $[\operatorname{colim} \ker f]_M \subseteq \ker f$ . Consider the commutative diagram



The functor Colim is a directed colimit and thus exact. Therefore, Colim  $\ker f = \ker \operatorname{Colim} f$ . This implies  $\eta_N \circ f \circ j = \operatorname{Colim} f \circ \operatorname{Colim} i \circ \eta_{[\operatorname{colim} \ker f]_M} = 0$ . Since  $\eta_N$  is injective, we obtain  $f \circ j = 0$ . Therefore, j factors uniquely through  $\ker f$ . This proves the claim.

The lexicographic order  $\leq_{\text{lex}}$  and the colexicographic order  $\leq_{\text{colex}}$  are the total orders on  $\mathbf{Z}^2$  defined as

- $(x,y) \leq_{\text{lex}} (x',y')$  iff either x < x' or x = x' and  $y \leq y'$ ,
- $(x,y) \leq_{\text{colex}} (x',y')$  iff either y < y' or y = y' and  $x \leq x'$ .

Two grades  $z_1, z_2 \in \mathbf{Z}^2$  satisfy  $z_1 \leq z_2$  iff  $z_1 \leq_{\text{colex}} z_2$  and  $z_1 \leq_{\text{lex}} z_2$ .

- ▶ **Definition 18.** For  $b \in k^m$  and  $r \in (\mathbf{Z}^2)^m$ , the lex pivot of b with respect to r, l-piv(b), is the smallest index i such that  $b_i \neq 0$  and  $r_i$  takes its maximum value with respect to  $\leq_{\text{lex}}$ . The colex piot, c-piv(b), is defined analogously. For  $0 \in k^m$ , we let l-piv(0) = c-piv(0) = 0.
- ▶ **Theorem 19.** Let M be a free  $\mathbb{Z}^2$ -persistence module of finite rank with a fixed basis, let  $V \subseteq \operatorname{colim} M$  be a subspace, and let B be a matrix representing a generating set of V. Then  $[V]_M$  is free, and Algorithm 1 calculates a graded matrix representing a basis of  $[V]_M$ .

For a tuple  $r \in (\mathbf{Z}^2)^m$  and a matrix M with m rows, let  $[M]_r$  be the graded matrix with  $\operatorname{rg}_i^{[M]_r} = r_i$  and  $\operatorname{cg}_j^{[M]_r} = \bigvee_{M_{ij} \neq 0} r_i$ . Then  $[M]_r$  has the least possible column grades for which  $[M]_r$  represents a map of free modules.

**Proof of Theorem 19.** Let  $m_1, \ldots, m_s$  be a basis of M and  $r = (g(m_1), \ldots, g(m_s))$ . Without loss of generality, we assume that B represents a basis of V. The first for-loop in Algorithm 1 is a standard reduction scheme. In each iteration, the pivot index of one column decreases, so the loop terminates. When it does, all columns have distinct colex-pivots. During each iteration of the second for-loop, the lex-pivot of a column decreases. When it terminates, all columns have distinct lex-pivots. During the second loop, line (\*) ensures that no column

# **Algorithm 1** Computes a basis of $[V]_M$ , where $M = \bigoplus_{i=1}^m F(r_i)$ and $V \subseteq \operatorname{colim} M$ .

```
Data: An m \times n-matrix B representing a generating set of V, r = (r_1, \dots, r_m) \in (\mathbf{Z}^2)^m.

Result: A graded m \times n-matrix whose nonzero columns represent a basis of [V]_M.

function Bireduce(B):

p \leftarrow 0 \in [m]^n \\
\text{for } j = 1, \dots, n \text{ do} \\
 & \text{while } i \leftarrow \text{c-piv}(B_j) \neq 0 \text{ do} \\
 & \text{if } p_i = 0 \text{ then } p_i \leftarrow j; \text{ break} \\
 & B_j \leftarrow B_j + B_{p_i} \\
 & p \leftarrow 0 \in [m]^n \\
\text{for } j' = 1, \dots, n \text{ do} \\
 & \text{j} \leftarrow j' \\
 & \text{while } i \leftarrow \text{l-piv}(B_j) \neq 0 \text{ do} \\
 & \text{if } p_i = 0 \text{ then } p_i \leftarrow j; \text{ break} \\
 & \text{if } \text{c-piv}(B_j) < \text{c-piv}(B_{p_i}) \text{ then swap } p_i \text{ and } j \\
 & B_j \leftarrow B_j + B_{p_i} \\
 & \text{return } [B]_r
```

is added to another column with a smaller colex-pivot. Since all columns have distinct colex-pivots after the first for-loop, the colex-pivots of the columns thus do not change during the second for-loop. Therefore, when the algorithm terminates, all columns of B have pairwise distinct lex- and colex-pivots.

Let  $A = [B]_r$  for the state of B when the algorithm terminates. Then A represents a basis  $\alpha_1, \ldots, \alpha_t$  of a free submodule N of M, with  $g(\alpha_j) = \operatorname{cg}_j^A$ . It remains to show that  $N = [V]_M$ . Since all column operations performed by Algorithm 1 are invertible, A represents a basis of V. Therefore,  $\operatorname{colim} N = V$ , which implies  $N \subseteq [V]_M$ . Let  $v \in [V]_M$ . Then there are unique coefficients  $\xi_j$  such that  $\eta_M(v) = \sum_{j=1}^t \xi_j \eta_M(\alpha_j)$ . Since  $\eta_M$  is injective,  $M_{g(v) \le z}(v) = \sum_{j=1}^t \xi_j M_{g(\alpha_j) \le z}(\alpha_j)$  for all  $z \ge g(v) \vee \bigvee_{\xi_j \ne 0} \operatorname{cg}_j^A$ . Since all columns of A have distinct lex- and colex-pivots, v cannot have smaller grade than  $\bigvee_{\xi_j \ne 0} \operatorname{cg}_j^A$ , so  $v \in N$ . This proves the claim.

#### 3.4 The free resolution of cohomology

Assume  $C^{\bullet}$  is a cochain complex of free modules such that  $\operatorname{colim} H^{\bullet}(C^{\bullet}) = 0$ , and recall the commutative diagram (6). A matrix  $[\delta^{d+1}]$  representing  $\delta^{d+1}$  is a generating system for  $\operatorname{colim} Z^{d+1}(C^{\bullet})$ , and Lemma 17 states that  $Z^{d+1}(C^{\bullet}) = [\operatorname{colim} Z^{d+1}(C^{\bullet})]_{C^{d+1}}$ . Applying Algorithm 1 to  $[\delta^{d+1}]$  thus yields a graded matrix  $[i^{d+1}]$  representing a basis of  $Z^{d+1}(C^{\bullet})$ .

▶ **Lemma 20.** If  $0 \to F_2 \xrightarrow{f_2} F_1 \xrightarrow{f_1} F_0$  is a free resolution of a module of finite total dimension, then  $(\nu F_0)^* = \ker(\nu f_2)^*$ .

**Proof.** The sequence  $0 \to F_2 \xrightarrow{f_2} F_1 \xrightarrow{f_1} F_0$  is exact. By Theorem 10, the sequence  $\nu F_2 \xrightarrow{\nu f_2} \nu F_1 \xrightarrow{\nu f_1} \nu F_0 \to 0$  and therefore also the dual sequence  $0 \to (\nu F_0)^* \xrightarrow{(\nu f_1)^*} (\nu F_1)^* \xrightarrow{(\nu f_2)^*} (\nu F_2)^*$  are exact.

Thus, if a matrix  $[f_2]$  representing  $f_2$  is known, then the matrix  $[f_1]^T = [(\nu f_1)^*]$  can be computed by applying the LW-Algorithm (Algorithm 4 in the full version) to  $[f_2]^T = [(\nu f_2)^*]$ . In particular, if  $H_d(C^{\bullet})$  has finite total dimension, then so has  $H^{d+2}(N^{\bullet}(K_*))$ , so Lemma 20 can be applied to the free resolution (6) of  $H^{d+2}(N^{\bullet}(K_*))$ . This shows that  $[p^{d+1}]^T$  can be computed by applying the LW-Algorithm to  $[i^{d+1}]^T$ .

Algorithm 2 Computes a minimal free resolution of  $H^{\bullet}(C^{\bullet})$  for a cochain complex  $C^{\bullet}$  of free  $\mathbb{Z}^2$ -modules, using clearing.

- ▶ Corollary 21. Let  $C^{\bullet}$  be a cochain complex of free modules such that dim  $H^{\bullet}(C^{\bullet})$  is finite. Then Algorithm 2 computes free resolutions of  $H^d(C^{\bullet})$ .
- ▶ Remark 22 (Clearing). In general,  $[\delta^{d+1}]$  is not injective. As in one-parameter persistent cohomology, the first loop in Algorithm 1 spends a significant amount of time on reducing the columns of  $[\delta^{d+1}]$  that are eventually reduced to zero. The computation can be accelerated considerably by using the pivots of the reduced matrix  $[\delta^d]$  to implement a clearing scheme before invoking Algorithm 1. This is implemented in Algorithm 2.

# 4 Experiments

We have implemented our cohomology algorithm in C++ [33]. We have also implemented the algorithm [23] used in mpfree [31], in order to vary the implementation details. Where applicable, the run time of our clone is similar to the one of mpfree. We have run our implementation to compute MFRs of the PH of various function-Rips bifiltrations.

## 4.1 Setup

All computations are done with coefficients in  $k = \mathbf{F}_2$ . Matrix columns are implemented as binary heaps [7]. Our code also implements an alternative representation of columns as dynamically allocated arrays. We have run our code on a MacBook Pro 2017 with a 2.3 GHz Dual-Core Intel Core i5 and 16GB RAM. The code is compiled using clang++ 15.0.7. Each instance of our program may run four threads in parallel.

The run time of the homology algorithm for minimal presentation computation [23] is dominated by chunk preprocessing and the LW-Algorithm. While it is standard to implement chunk preprocessing in an embarrassingly parallel way, no way is known to parallelize the LW-Algorithm. While the minimization step in Algorithm 2 is parallelized in our implementation, the bigraded reduction (Algorithm 1) is not, although we hypothesize it could be parallelized analogously to [36] or [6]. We found that the minimization is not a performance bottleneck of our algorithm, so one would expect similar performance on a single core.

**Datasets.** We have generated point clouds S by sampling n-spheres  $S^n$ , n-tori  $S^1 \times \cdots \times S^1$  and orthogonal groups O(n). Additionally, we use some of the point clouds from [37]. To each point  $p \in S$ , we associate the value  $\sum_{q \in S \setminus \{p\}} \exp\left(-\frac{d(p,q)^2}{2\sigma^2}\right)$  for a manually chosen parameter  $\sigma$ . These values and a distance matrix are written to a file, from which the program generates the coboundary matrices of the associated full function-Rips bifiltration.

Chunk preprocessing. The LW-Algorithm works most efficiently if combined with chunk preprocessing [22, 23]; this is the approach implemented in mpfree. Chunk preprocessing (Algorithm 7 in the full version) applies a certain column operation scheme to the matrices representing the chain complex  $C_{\bullet}$ . As an alternative, we propose to manipulate  $C_{\bullet}(K_*)$  by row operations. Equivalently, one can see this procedure as column operations on the matrices representing the cochain complex  $\nu C^{\bullet}(K_*)$ ; hence, we refer to this approach as cochain complex chunk preprocessing; see Algorithm 8 in the full version.

Coning off. To ensure that  $H^{d+2}(N^{\bullet}(K_*)) \cong H_d(K_*)^*$ , Corollary 21 requires that  $C_{\bullet}$  has homology of finite total dimension. Therefore, our implementation offers the ability to cone off the complex as follows. Let  $C_{\bullet}$  be a chain complex of free  $\mathbb{Z}^2$ -persistence modules. The assignment  $C'_d: y \mapsto \operatorname{colim}_{x \in \mathbb{Z}}(C_d)_{xy}$  defines a chain complex  $C'_{\bullet}$  of free  $\mathbb{Z}$ -persistence modules. We compute the barcode of  $H_d(C'_{\bullet})$  using the cohomological standard algorithm with clearing (Algorithm 3 in the full version). This can be used to implement a clearing mechanism in the homological standard algorithm, which we use to compute representatives for the homology classes in the barcode of  $H_d(C'_{\bullet})$ . Let  $y_0$  such that  $y_0 \geq g_y(\sigma)$  for all  $\sigma$ , where  $g(\sigma) = (g_x(\sigma), g_y(\sigma))$ . Let  $\hat{C}_{\bullet} = C_{\bullet}$ . For every bar (b, d) of  $H_d(C'_{\bullet})$  of non-zero length represented by a q-cycle  $c \in C'_q$  of grade g(c) = b, we add a basis element  $\hat{c}$  of grade  $g(c) = (b, y_0)$  to  $\hat{C}_{q+1}$  with  $\partial_{q+1}(\hat{c}) = c$ . If  $d < \infty$ , then c bounds a chain c' with g(c') = d, and we add a basis element  $\hat{c}'$  of grade  $g(\hat{c}') = (d, y_0)$  to  $\hat{C}_{q+2}$  with  $\partial_{q+2}(\hat{c}') = c' - \hat{c}$ . The resulting chain complex  $\hat{C}_{\bullet}$  satisfies  $H_{\bullet}(\hat{C}_{\bullet})_{xy} = 0$  for  $y \geq y_0$ . If not stated otherwise, cohomology computation is done with this preprocessing applied to the density parameter.

**Sparsification.** We observe that the second for-loop in Algorithm 1 runs considerably longer than the first. The loop also increases the matrix density, which many incur a high cost on the subsequent steps in Algorithm 2. For an interpretation, see Remark 24 in the full version. As a remedy, we have added a step that decreases the sparsity of the matrix using row operations that are compatible with the column sparse matrix implementation. Specifically, if a row contains only a single entry, any row addition from this row to another affects only a single entry. Therefore, an entry in a row with grade g can be eliminated directly if there is a row with grade  $g' \geq g$  containing only a single entry in the same column; see Algorithm 10 in the full version. All cohomology computation run times are reported with this sparsification scheme applied.

## 4.2 Results

An overview of the results is given in Table 1. We report only the time needed to compute the MFR and, if applicable, to apply the chunk preprocessing. We do not report the time necessary to set up the (co)boundary matrices. In all cases with  $d \geq 2$ , computing  $H^{d+2}(N^{\bullet})$  (without chunk preprocessing) was faster than computing  $H_d$  with chunk preprocessing. Our cohomology approach does not benefit from chunk preprocessing. The speedup of the cohomology approach increases with dimension. For two instances, computation of  $H_d(K_*)$  did not terminate within five minutes, while computing  $H^{d+2}(N^{\bullet}(K_*))$  was no problem. We also observe that the cohomology algorithm uses less memory for almost all instances with  $d \geq 2$ .

Matrix representations. The efficiency of the LW-Algorithm and of chunk preprocessing does not vary very much depending on the matrix implementation; see Table 1 and Table 2 in the full version. In contrast, our cohomology algorithm runs faster in the implementation

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Table 1 Run times (in milliseconds) comparing our implementation of [35, 23] (including chunk preprocessing) and our cohomology algorithm, applied a density-Rips filtration on 300 vertices (d=1), 100 vertices (d=2) and 60 vertices (d=3). RSS is peak resident memory as measured by time. Speed up is the run time of the homology computation (including chunk preprocessing), divided by the run time of the cohomology algorithm. The program has been killed after exceeding five minutes of run time.

d	sample	chunk	$H_d$	sum	RSS	$H^{d+2}$	RSS	speedup
1	c. elegans	5,457	40,841	46,298	6,423,600	119,444	6,526,976	0.39
	2-torus	11,480	19,875	$31,\!355$	6,620,404	5,032	$2,\!827,\!912$	6.23
	4-torus	6,342	$28,\!627$	34,969	5,916,816	50,607	$3,\!384,\!472$	0.69
	dragon	9,721	18,489	$28,\!210$	5,774,492	5,064	$2,\!829,\!620$	5.57
	2-sphere	8,657	$29,\!180$	$37,\!837$	6,421,312	25,021	4,098,268	1.51
	4-sphere	7,699	$33,\!619$	$41,\!318$	6,642,260	47,355	$7,\!154,\!632$	0.87
	O(3)	7,023	$33,\!874$	40,897	6,315,708	42,702	3,816,124	0.96
2	c. elegans	28,583	7,484	36,067	4,428,440	5,655	2,371,324	6.38
	2-torus	39,630	2,191	41,821	3,216,372	5,054	$2,\!334,\!712$	8.27
	4-torus	33,788	19,875	53,663	$5,\!538,\!232$	5,969	$2,\!425,\!136$	8.99
	dragon	19,023	2,379	21,402	$2,\!557,\!124$	5,188	$2,\!367,\!488$	4.13
	2-sphere	32,611	$12,\!416$	45,027	5,099,604	6,417	$2,\!426,\!924$	7.02
	4-sphere	29,272	$25,\!357$	54,629	6,039,576	8,637	$2,\!505,\!664$	6.32
	O(3)	31,780	29,123	60,903	$6,\!654,\!692$	6,796	$2,\!445,\!996$	8.96
3	c. elegans	38,349	2,393	40,742	3,515,708	8,984	4,227,820	4.53
	2-torus	>300,000	_	_	7,141,648	11,725	$5,\!072,\!192$	> 25.59
	4-torus	>300,000	_	_	$10,\!843,\!356$	9,930	$4,\!358,\!580$	> 30.21
	dragon	67,463	2,334	69,797	$6,\!666,\!732$	9,782	4,900,800	7.14
	2-sphere	59,385	3,110	$62,\!495$	4,280,112	9,051	$4,\!185,\!036$	6.90
	4-sphere	92,365	8,577	100,942	5,526,344	8,818	$4,\!197,\!636$	11.45
	O(3)	204,263	$25,\!284$	$229,\!547$	7,966,600	10,851	$4,\!365,\!864$	21.15

with binary heaps. We observe that the vector based implementations generally use less memory than the heap based ones. This happens because, in contrast to vectors, heaps may contain multiple entries for the same row index.

Cochain chunk preprocessing. For the homology computation, the cochain complex chunk preprocessing described above often is more efficient than chunk preprocessing if combined with the heap implementation of matrix columns, see Table 3 in the full version. This is true in particular for higher homology dimensions. If combined with vector-based matrices, cochain chunk preprocessing is less efficient than conventional chunk preprocessing in almost all cases, and does not terminate at all within five minutes.

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